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APR 08 2002  
UNITED STATES PATENT AND TRADEMARK OFFICE

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,289	10/29/2001	Jose Joaquin Garcia-Luna-Aceves	UC00-314-2US

John P. O'Banion  
O'BANION & RITCHIE LLP  
Suite 1550  
400 Capitol Mall  
Sacramento, CA 95814

CONFIRMATION NO. 9355

## FORMALITIES LETTER



\*OC000000007478622\*

Date Mailed: 02/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$411.
  - \$243 for 27 total claims over 20.
  - \$168 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 846.**

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65.00

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04/11/2002 YPOLITE1 000000040

01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04-10-02

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PATENT

Docket No.: UC00-314-2US

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JOSE JOAQUIN GARCIA-LUNA-ACEVES; ASIMAKIS TZAMALOUKAS  
Serial No.: 10/021,289  
Filed: OCTOBER 29, 2001  
For: RECEIVER-INITIATED CHANNEL-HOPPING (RICH) METHOD FOR WIRELESS  
COMMUNICATIONS NETWORKS  
Group:  
Examiner:

**BOX MISSING PARTS**

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**SUBMISSION OF MISSING PARTS OF APPLICATION  
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed February 14, 2002, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. Check in the amount of \$846 (\$781 filing fees and \$65 surcharge for the fees due as a small entity);
5. Assignment Cover Letter;
6. Assignment;
7. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

**CLAIMS AS FILED**

	Number filed	Number Extra		Rate	Basic Fee	
Total					\$ 740.00	
Claims 37 CFR 1.16(c)	47 - 20	=	27	X \$18.00	=	486.00
Independent						
Claims (37 CFR 1.16(b))	7 - 3	=	4	X \$84.00	=	336.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))				+ \$270.00	=	

Filing Fee Calculation	\$ 1,562.00
Surcharge	\$ 130.00
<b>TOTAL FILING FEE</b>	<b>\$ 1,692.00</b>

### **Small Entity Statement(s)**

Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

**Filing Fee Calculation (50% of above)** \$ 846.00

**Amount already paid** **LESS (\$ \_\_\_\_\_)**

**TOTAL FILING FEES DUE** \$ 846.00

X Assignment recording fee \$ 40.00  
Petition fee \$ 0

**TOTAL FEES DUE** \$ 886.00

Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: April 8, 2002

Respectfully submitted,

*John P. O'Banion, Reg. No. 33,201*  
O'BANION & RITCHIE LLP  
400 Capitol Mall, Suite 1550  
Sacramento, CA 95814  
(916) 498-1010

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): JOSE JOAQUIN GARCIA-LUNA-ACEVES ET AL.

Docket No.

UC00-314-2US

Serial No.  
10/021,289Filing Date  
OCTOBER 29, 2001

Examiner

Group Art Unit  
2681

Invention 1 RECEIVER-INITIATED CHANNEL-HOPPING (RICH) METHOD FOR WIRELESS COMMUNICATIONS NETWORKS

APR 08 2002

I hereby certify that the following correspondence:

**Submission of Missing Parts of Application (Page 1 & 2)**

(Identify type of correspondence)

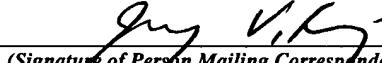
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

April 8, 2002

(Date)

Jerry V. King

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL694788356US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): JOSE JOAQUIN GARCIA-LUNA-ACEVES ET AL.

Docket No.

UC00-314-2US

Serial No.  
10/021,289Filing Date  
OCTOBER 29, 2001

Examiner

Group Art Unit  
2681Invention: **RECEIVER-INITIATED CHANNEL-HOPPING (RICH) METHOD FOR WIRELESS COMMUNICATIONS NETWORKS**

APR 08 2002

I hereby certify that the following correspondence:

**Notice to File Missing Parts of Nonprovisional Application (Page 1)**

(Identify type of correspondence)

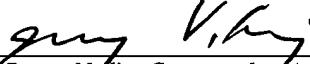
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Examiner

Group Art Unit  
2681Invention: **P RECEIVER-INITIATED CHANNEL-HOPPING (RICH) METHOD FOR WIRELESS COMMUNICATIONS NETWORKS**

APR 08 2002

I hereby certify that the following correspondence:

**Assignee Certification (Page 1)**

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Docket No.

Applicant(s): JOSE JOAQUIN GARCIA-LUNA-ACEVES ET AL.

UC00-314-2US

Serial No.  
10/021,289Filing Date  
OCTOBER 29, 2001

Examiner

Group Art Unit  
2681

Invention: 1 RECEIVER-INITIATED CHANNEL-HOPPING (RICH) METHOD FOR WIRELESS COMMUNICATIONS NETWORKS

APR 08 2002

I hereby certify that the following correspondence:

**Combined Declaration and Power of Attorney (Page 1 thru 6)**

(Identify type of correspondence)

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